

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
COMMISSIONER OF PATENTS
P.O. BOX 1450
Alexandria, VA 22313-1450

15364 U.S. PTO
10/802298
031604

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Rudolf KIENZLER for
Inventor(s)

LOCK PIN WITH PUSHBUTTON-OPERATED AXIAL LOCKING AND FREE BEARING
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 12) including:
 - a. 2 pages of claims (9 claims)
 - b. 1 page(s) Abstract
2. X 4 sheets of X informal formal drawings (Figs. 1-19)
3. X Oath or declaration of Applicant(s) (3 total pages, unexecuted)
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to _____ (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (*when there is an assignee*)
9. X Power of Attorney, unexecuted.
 - a. Newly executed (original or copy)
 - b. Copy from a prior application

10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. X A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. X Applicant is entitled to assert small entity status pursuant to 37 C.F.R. §1.9 and §1.27.

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--

16. X Priority of application No(s). 10313170.1 filed in Germany on March 25, 2003. Applicant hereby
claims priority under 35 U.S.C. § 119.

X A certified copy of priority application No. 10313170.1 is enclosed.

___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	9 - 20	=	0	x	\$ 9	\$ 18	=	\$0	\$0
Indep. Claims	1 - 3	=	0	x	\$ 43	\$ 86	=	\$0	\$0
Multiple Dependent Claims Presented: Yes___ No <u>X</u>					\$145	\$290	=	\$0	\$0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 385	\$770
					Total Fee			\$ 385	\$

22. Other (identify) _____

NORMAN H. ZIVIN
Registration No. 25,385
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400
Fax: (212) 391-0525

By:

Norman H. Zivin
Reg. No. 25,385
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400
Attorney for Applicant

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 385.00)

Complete if Known

Application Number
Filing Date
First Named Inventor Rudolf KIENZLER
Examiner Name
Art Unit
Attorney Docket No. 1941/72111/NHZ

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

03-3125

Cooper & Dunham LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 385)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims	9	-20** =	0	x	9	= 0
Independent Claims	1	-3** =	0	x	43	= 0
Multiple Dependent					145	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type) Norman H. Zivin

Registration No. (Attorney/Agent)

25,385

(Complete (if applicable))

Telephone 212-278-0400

Signature

Date

March 16, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Rudolf KIENZLER
Serial No. : Not yet known
Filed : Herewith
For : LOCK PIN WITH PUSHBUTTON-OPERATED AXIAL LOCKING AND
FREE BEARING

Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: ET735 976 170US

Date of Deposit: March 16, 2004

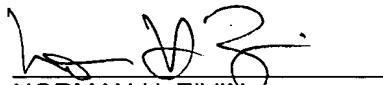
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450 Alexandria, VA 22313-1450



Printed Name: Trinidad Iscoa

Respectfully submitted,

Date: March 16, 2004


NORMAN H. ZIVIN
Reg. No. 25,385
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400